PLANNED AUDIT 2013/2014 APPENDIX 1

System Name	Status		v	Exception Issues	Audit	SS		þ		ō		ō	
		ţi)ay		Opinion	Recs		No. Agreed	Ε	Agreed		Agreed	t e
A + 20 F-b		Jit oca	 			of	ء	Ag	ij	Ag	>	Ag	al N
As at 28 February 2014		Audit Allocation	Total Days Used			Š.	High	No.	Medium	9	Low	Š.	Total Not Agreed
JOINT					_								
Payroll (23 priority score)	Completed	32											
SODC			17.5		Satisfactory	6	0	0	3	3	3	3	0
VWHDC			17.5		Satisfactory	6	0	0	4	4	2	2	0
Disaster Recovery (21)	Completed	10											
SODC			5.0		Satisfactory	8	0	0	5	4	3	3	1
VWHDC			5.0		Satisfactory	7	0	0	6	5	1	1	1
Section 106 Commuted Sum/CIL (21)	In progress	20											
SODC			7.0										
VWHDC			7.0										
Housing & Council Tax Reduction Scheme (20)	Completed	30											
SODC			12.5		Full	0	0	0	0	0	0	0	0
VWHDC			12.5		Full	0	0	0	0	0	0	0	0
National Non-Domestic Rate (20)	Completed	24											
SODC			11.0		Full	2	0	0	1	1	1	1	0
VWHDC			11.0		Full	0	0	0	0	0	0	0	0
Council Tax (19)	Completed	24											
SODC			12.5		Full	1	0	0	0	0	1	1	0
VWHDC			12.5		Full	1	0	0	0	0	1	1	0
Creditor Payments (19)	Completed	20											
SODC			11.0		Full	1	0	0	1	1	0	0	0
VWHDC			10.5		Full	0	0	0	0	0	0	0	0
General Ledger (19)	Completed	20											
SODC			8.5		Satisfactory	5	0	0	1	1	4	4	0
VWHDC			8.5		Satisfactory	4	0	0	0	0	4	4	
Sundry Debtors (19)	In progress	20											
SODC			9.0										
VWHDC			9.0										
Pro-Active Anti-Fraud Review (19)	In progress	16											
SODC			6.0										
VWHDC			6.0										
Cash Office (19)	In progress	10											
SODC			2.0										
VWHDC			2.0										

Business Continuity (19)	Completed	14											
SODC	Completed		5.5		Satisfactory	8	0	0	6	6	2	2	0
VWHDC			5.5		Satisfactory	8	0	0	6	6	2	2	0
Health & Safety (18)	Completed	20									_		
SODC			8.0		Satisfactory	4	1	1	3	3	0	0	0
VWHDC			8.0		Satisfactory	4	1	1	3	3	0	0	0
Treasury Management (17)	Draft issued	14		Audit work commenced in									
SODC			3.5	November 2013 and will complete									
				in February 2014 to allow for									
VWHDC			3.5	sufficient testing data									
Capital Management and Accounting (17)	Completed	14											
SODC			6.0		Satisfactory	6	0	0	0	0	6	6	0
VWHDC			6.0		Satisfactory	4	0	0	0	0	4	4	0
Council Fees & Charges (17)	In progress	20											
SODC			0.5										
VWHDC			0.5										
Licensing (17)	Completed	20											
SODC			12.5		Limited	12	0	0	5	5	7	7	0
VWHDC			12.0		Limited	15	0	0	7	7	8	8	0
Lone Working/ Officer Security (16)	Completed	20											
SODC			10.0		Satisfactory	6	0	0	3	2	3	3	1
VWHDC			10.0		Satisfactory	4	0	0	2	1	2	2	1
Brown Bins (16)	Completed	20											
SODC			9.5		Full	1	0	0	0	0	1	1	0
VWHDC			9.5		Full	1	0	0	0	0	1	1	0
Post Room (including receipt of valuable items) (16)	Completed	20											
SODC			7.0		Satisfactory	6	0	0	1	1	5	5	0
VWHDC			7.0		Satisfactory	4	0	0	0	0	4	4	0
Intra Council Recharges (16)	Completed	20											
SODC			8.5		Satisfactory	5	1	1	3	3	1	1	0
VWHDC			8.5		Satisfactory	4	1	1	3	3	0	0	0
Temporary Accommodation (including rent deposit, rent in	Deferred to 2014/15	24											
advance & rent accounting) (15)													
SODC			0.5										
VWHDC			0.5										
Stock Control (15)	Completed	12											
SODC			6.0		Satisfactory	6	0	0	3	3	3	3	0
VWHDC			6.0		Satisfactory	4	0	0	2	2	2	2	0
RIPA (15)	Completed	10											
SODC			3.5		Full	3	0	0	0	0	3	3	0
VWHDC			3.5		Full	3	0	0	0	0	3	3	0
Credit Card Usage (14)	Completed	8											
SODC			4.0		Satisfactory	5	0	0	2	2	3	3	0
VWHDC			4.0		Satisfactory	3	0	0	0	0	3	3	0

HR Grievance Procedures (13)	In progress	14											
SODC			0.5										
VWHDC			0.5										
Environmental Health Food & Safety (8)	Completed	20											
SODC			11.0		Satisfactory	9	0	0	2	1	7	6	2
VWHDC			11.0		Satisfactory	7	0	0	1	1	6	5	1
SODC													
Community Loans Scheme (14)	Completed	4	4.0		Full	3	0	0	1	1	2	2	0
Leader Project Grant Verification (9)	Completed	5	5.0		-	0	0	0	0	0	0	0	0
VWHDC													
Wantage Civic Hall (14)	In progress	10	7.0										
IA PLANNED AUDIT TOTALS	-	515	412			176	4	4	74	69	98	96	7
				Full									
				Satisfactory	,								
				Limited									
				Nil									